



WEATHERIZATION ASSISTANCE PROGRAM
Pre-Qualification of Building Shell
Weatherization Service Providers

Solicitation No. 2011WXSSP

Funded through the American Recovery & Reinvestment Act of 2009

The purpose of this Addendum #1 is to amend the Historically Underutilized Business certification.

Issue Date: January 4, 2010

Addendum #1 Issue Date: January 7, 2011

Mailing Address:

1340 Mail Service Center
Raleigh, North Carolina 27699-1340

Telephone: 919-733-2230

Facsimile: 919-733-2953
www.energync.net

Physical Location:

1830 Tillery Place, Suite A
Raleigh, North Carolina 27604-1586

Page 25 of the Solicitation No. 2011WXSSP is hereby deleted and replaced with **Page 3** below. Please return this page with your Application.

CERTIFICATION REGARDING LOBBYING

The undersigned certifies, to the best of his or her knowledge and belief, that:

1. No Federal appropriated funds have been paid or will be paid by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federally funded contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form SF-LLL, "Disclosure of Lobbying Activities," in accordance with its instructions.
3. The undersigned shall require that the language of this certification be included in the award document for subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) who receive federal funds of \$100,000.00 or more and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000.00 and not more than \$100,000.00 for each such failure.

SIGNED: _____ DATE: _____
 TITLE: _____ ORGANIZATION: _____

OFFICE FOR HISTORICALLY UNDERUTILIZED BUSINESSES

The North Carolina Department of Administration's Office for Historically Underutilized Businesses certifies historically underutilized businesses that are at least 51% owned, controlled and managed, by one or more citizens or lawful permanent residents of the United States who are members of one or more of the following groups: (1) Black, (2) Hispanic, (3) Asian American, (4) American Indian, (5) Female, (6) Disabled, and (7) Disadvantaged. See G.S. § 143-128.4.

CERTIFICATION:
Please check the following:

- Is your company **certified** with the Office for Historically Underutilized Businesses (HUB)? YES
 NO
- Are your company minority contractors, small contractors, physically handicapped contractors, women contractors, disabled business enterprises and nonprofit work centers for the blind and severely disabled? YES
 NO

SIGNED: _____ DATE: _____
 TITLE: _____ ORGANIZATION: _____